

**City of Los Angeles
Responsible Banking Investment Monitoring Program
For Investment Banks**

Investment banks providing City investment banking services or seeking City investment banking business must complete and submit this form no later than July 1st of each year to the City Administrative Officer to comply with Chapter 5.1, Section 20.95.1 of the Los Angeles Administrative Code.

Contact Information:

PNC Capital Markets LLC
Name of Financial Institution
340 Madison Avenue New York NY 10173
Street Address City State Zip Code
Eric Golynsky, Director
Contact Person Name and Title
212-752-6103 eric.golynsky@pnc.com
Telephone No. Email Address

Please answer the following questions for the preceding calendar year.

1. Did your firm make monetary donations to charitable programs within the City limits?
Yes No If yes, please complete the attached form.
2. Did your firm provide any scholarship awards to residents of the City of Los Angeles?
Yes No
 - a. How many scholarships were awarded? N/A
 - b. What was the total value of the awarded scholarships? N/A
3. Does your firm have internal policies regarding utilization of subcontractors which are designated as "women owned," "minority owned," or "disabled" business enterprises?
Yes No If yes, please provide a copy of your policies.

CERTIFICATION UNDER PENALTY OR PERJURY

I certify under penalty of perjury that I have read and understand the questions contained in this form and the responses contained in the form and on all the attachments. I further certify that I have provided full and complete answers to each question, and that all information provided in response to this form is true and accurate to the best of my knowledge and belief.

Eric Golynsky, Director
Print Name, Title

Eric Golynsky
Signature

6/27/2017
Date

PLEASE SEND THE ORIGINAL SIGNED FORM TO THE ADDRESS BELOW AND EMAIL A COPY TO CAO.DEBT@LACITY.ORG.

Office of the City Administrative Officer
200 North Main St. Room 1500
Los Angeles, CA 90012
Attention: Debt Management Group

Attachment for Question #1 - Responsible Banking Investment Monitoring Program for Investment Banks

Name of Charitable Organization	Type	Amount (\$)
ALPFA Foundation Inc	General Grant	\$16,000.00
California Science Center	General Grant	\$6,410.00
CoachArt Org	General Grant	\$2,500.00
Watts Labor Community	General Grant	\$2,500.00
Jewish Federation Council of Greater Los Angeles	Charitable Sponsorship	\$5,000.00
The UCLA Foundation	Charitable Sponsorship	\$9,000.00

OUR SUPPLIER DIVERSITY PROGRAM

PNC DIVERSITY STATEMENT

At PNC, diversity and inclusion are essential to developing innovative business solutions and delivering the best service to our customers and community. Our commitment is ongoing and involves every member of our team and all aspects of our business.

We are committed to diversity with a focus on cultivating an inclusive work environment that promotes diversity and employee engagement; continues to expand our outreach to a broad customer base; grows minority-, disabled-, women-, veteran-, LGBT-owned and disadvantaged businesses; and builds strong partnerships within the community.

PNC SUPPLIER DIVERSITY MISSION

PNC has a robust Supplier Diversity program that is aligned within PNC's Supply Chain Management organization. PNC is committed to the inclusion of diverse suppliers in our sourcing processes and we do track and monitor our global corporate spending with diverse firms including both Tier I direct spend and Tier II direct and indirect spending. In 2015, PNC spent over \$338M with women-, minority-, veteran-, service-disabled veteran, LGBT- and small and disadvantage-owned business entities, optimizing our MWDBE database of more than 5,000+ firms.

PNC is committed to economically strengthening and growing women-, minority-, veteran- and disadvantaged-owned business enterprises (MWDBEs). The viability, growth and expansion of the local business economy are integrally linked to successful MWDBE business development and growth. Our procurement practices are designed to identify experienced people committed to delivering the service, quality and value that defines our brand, and promotes the economic growth of the local business communities we serve.

SUPPLIER ELIGIBILITY REQUIREMENTS

PNC's Supplier Diversity Program includes those for-profit businesses that are at least 51% owned, operated and controlled by one or more members of the following groups.

• Ethnic Minorities, including:

- African Americans
- Asian-Indian Americans
- Asian-Pacific Islanders
- Hispanic Americans
- Native Americans

• Women

• Disabled Business Owners

• Veterans and Service-Disabled Veterans

• Small and Disadvantaged Businesses including:

- Small and disadvantaged based on SBA guidelines
- LGBT-owned firms
- Hub Zone Classified



OUR SUPPLIER DIVERSITY PROGRAM



MWDBE BUSINESS DEVELOPMENT

PNC believes in fair competition. Our practices incorporate a uniform set of standards for all suppliers in relation to competitive prices, quality products and quality service.

We partner with business, civic and trade organizations across our footprint to strengthen our supplier diversity efforts and provide innovative methods that promote MWDBE growth and excellence.

- We maintain our own supplier registration portal, which enables us to track and find qualified suppliers while introducing suppliers' products and achievements to purchasing decision-makers.
- We work with industry and community organizations to present complimentary, educational workshops to local MWDBEs to assist them in the development and mastery of business strategies to advance growth and operational/financial stability.
- We are proud and active corporate members of the following organizations that support MWDBE outreach, networking, mentoring and development:
 - National Minority Supplier Development Council (**NMSDC**)
 - Women's Business Enterprise National Council (**WBENC**)
 - National Gay & Lesbian Chamber of Commerce (**NGLCC**)
 - National Veteran-Owned Business Association (**NaVOBA**)
 - United States Hispanic Chamber of Commerce (**USHCC**)
 - US Pan Asian Chamber of Commerce (**USPAACC**)
 - United States Business Leadership Network (**USBLN**)
 - Financial Services Roundtable for Supplier Diversity (**FSRSD**)

2015 AWARDS

- "2015 Best of the Best" Winner, *Black EOE Journal*
- "2015 Best of the Best" Winner, *Hispanic Network* (magazine)
- 2015 Military Friendly Supplier Diversity Program, NaVOBA
- Best Places to Work for LGBT Equality, Human Rights Campaign, 2015
- MBN USA 2015 Corporate 101; America's Most Admired Corporations for Supplier Diversity
- Women's Enterprise 2015 WE 100 Corporations of the Year

2016 AWARDS

- MBN USA 2016 Corporate 101; America's Most Admired Corporations for Supplier Diversity
- Best Places to Work for LGBT Equality, Human Rights Campaign, 2016
- 2016 Top Corporations for LGBT Economic Empowerment, *Affinity Inc* (magazine)
- Inaugural 2016 Best of the Best for Diversity by the National Business Inclusion Consortium
- 2016 Top Corporation for Disability-Owned Businesses, USBLN
- 2016 Military Friendly Supplier Diversity Program, NaVOBA
- "2016 Best of the Best" Winner for *Black EOE Journal*, *Professional Women's Magazine*, *U.S. Veterans Magazine*, & *Hispanic Network Magazine*